



JOHN THOMAS FINANCIAL

JOHN BABIKIAN
THE REEF ATLANTIS
NASSAU
BAHAMAS

FINANCIAL ADVISOR:
THOMAS BELESIS
14 WALL STREET 23RD FL • NEW YORK NY 10005
(212) 299-7800
Branch Code: HM03



PAGE 1 of 4

JOHN BABIKIAN			ACCOUNT NUMBER		5752
INVESTMENT ACCOUNT SUMMARY			Statement Period: 11/01/11 to 11/30/11		
ASSET SUMMARY	This Month	Prior Month	CASH ACTIVITY	This Period	
Equities	205,000.00	325,000.00	Opening Balance	\$(99.88)	
Total Invested Assets	205,000.00	325,000.00	Net Other Bookkeeping	(175.00)	
Less Debit Balance	(274.88)	(99.88)	Ending Balance	\$(274.88)	
Total Account Value	\$204,725.12	\$324,900.12			
Total Combined Value	\$204,725.12	\$324,900.12			
EARNINGS SUMMARY					
	This Period	Year-to-Date			
Money Fund Earnings	\$0.00	\$0.14			
Total Income	\$0.00	\$0.14			

Introduced through Sterne Agee Clearing, Inc. Account carried by Sterne, Agee & Leach Inc.

SEC-SterneAgee-E-0000033



JOHN BABIKIAN

INVESTMENT ACCOUNT



PAGE 3 of 4

ACCOUNT NUMBER 5752**Statement Period: 11/01/11 to 11/30/11****PORTFOLIO HOLDINGS - CASH OR CASH ALTERNATIVES**

Amount	Description	Yield
(274.88)	Cash Account Balance	
Total Cash or Cash Alternatives		(274.88)
Percentage of Total Invested Assets		(0.13)%

PORTFOLIO HOLDINGS - EQUITIES**Common Stocks**

Total Shares	Description	Symbol	Price	Current Value	Est. Annual Income	Shares Purchased	Unit Cost	Cost Basis	Unrealized Gain/Loss
500,000	AMERICA WEST RES INC NEW	AWSR	0.41	205,000.00		500,000	Unavailable	Unavailable	Unavailable
Total Common Stocks				205,000.00	Unavailable			Unavailable	Unavailable
Percentage of Total Equities				100.00%					
Percentage of Total Invested Assets				100.00%					

SEC-SterneAgee-E-0000035



JOHN THOMAS FINANCIAL

JOHN BABIKIAN

INVESTMENT ACCOUNT



PAGE 4 of 4

ACCOUNT NUMBER

5752

Statement Period: 11/01/11 to 11/30/11

OTHER BOOKKEEPING

Date	Transaction	Quantity	Description	Amount
11/15/11	CHARGE		RESTRICTED SECURITY CLE/	(100.00)
11/17/11	EXCHANGE	500,000	AMERICA WEST RES INC NEW	
11/17/11	EXCHANGE	(500,000)	AMERICA WEST RES INC NEW RESTRICTED	
11/30/11	CHARGE		ANNUAL SERVICE FEE	(75.00)
Total Other Bookkeeping Debits				(175.00)

End of Statement

SEC-SterneAgee-E-0000036